



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: June 28, 2007

Name: James L. Katz

Signature: 

BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Tritt et al.

Appln. No.: 09/475,637

Filed: December 30, 1999

For: Simultaneous Real-Time Access to Financial Information

Attorney Docket No: 10098/6

Examiner: A. Rudy

Art Unit: 3627

Mail Stop Issue Fee  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Part B - Fee(s) Transmittal (in duplicate); Amendment under 37 CFR 1.312 ; 21 sheets replacement drawings; 31 page substitute Specification and Abstract
- ☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

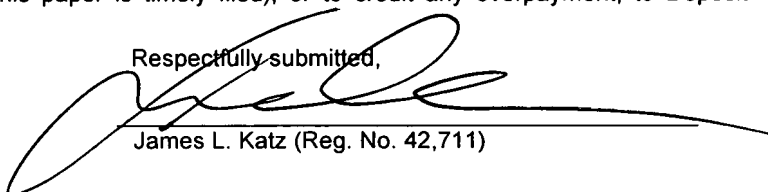
					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☒ Please charge Deposit Account No. 23-1925 in the amount of \$1,400. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date: 6/28/07

Respectfully submitted,

  
James L. Katz (Reg. No. 42,711)

BRINKS HOFFER GILSON & LIONE  
NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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& LIONE



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Alexandria, VA 22313-1450, on June 26, 2007

Date of Deposit

James L. Katz

Name of applicant, assignee or  
Registered Representative

Signature

Date of Signature

BHGL Case No. 10098/6

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Tritt, et al.

Serial No. 09/475,637

Filing Date: December 30, 1999

For SIMULTANEOUS REAL-TIME  
ACCESS TO FINANCIAL  
INFORMATION

Examiner: A. Rudy

Group Art Unit No. 3627

**AMENDMENT UNDER 37 CFR § 1.312**

Mail Stop Issue Fee

10 Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

15 In response to the Examiner's Comment/Amendment included in the Notice of  
Allowability dated April 30, 2007; pursuant to 37 C.F.R. § 1.312 please enter the following  
amendment to the Specification and drawings and consider the following remarks.

IN THE DRAWINGS:

Please replace Figures 1, 6, 8(a)-8(d), and 14(a)-(f) with the replacement sheets attached hereto.

Please delete Figures 4A-4P previously submitted by Applicants' Amendment dated  
5 December 23, 2002, and add Figures 15(A)-(L) attached hereto.

IN THE SPECIFICATION:

On page 1, please replace the paragraph beginning at line 4 in its entirety with the following:

-- The following applications are related by subject matter and are hereby incorporated by reference:

10 Application Serial No. 09/475,634 entitled "Real-Time Presentation of Financial Information-" ,"currently pending.

Application Serial No. 09/475,636 entitled "Method of Accessing Financial Information-" ,"now abandoned.

15 Application Serial No. 09/476,073 entitled " Method of Account Holder Access to Financial Information-" ,"now abandoned.

Application Serial No. 09/475,538 entitled "Method of Charging for Access to Financial Information-" ,"now abandoned. --

20 Please delete the text "Figures 4A-4P are flow charts depicting the operation of alternate embodiments of Figure 1." as added by Applicants' Amendment dated December 23, 2002 and insert:

-- Figures 15A-15L are flow charts depicting the operation of alternate embodiments of Figure 1.--